

RSA Cryptosystem and Factorization

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RSA Cryptosystem

was invented by Rivest, Sharmir, and Adleman in 1978.

m : message

c : cipher

Encryption

1. choose n and e ;
2. compute $c = m^e \bmod n$;

Decryption

1. compute d , the inverse of e in $\mathbf{Z}_{\phi(n)}^*$
 $d \cdot e \equiv 1 \pmod{\phi(n)}$;
2. compute $m = c^d \bmod n$;

Example

$$n = 143, e = 103$$

$$m = 2, c = 2^{103} \bmod 143 = 63$$

$$m = 3, c = 3^{103} \bmod 143 = 16$$

$$m = 4, c = 4^{103} \bmod 143 = 108$$

$$m = 5, c = 5^{103} \bmod 143 = 125$$

$$m = 6, c = 6^{103} \bmod 143 = 7$$

$$m = 7, c = 7^{103} \bmod 143 = 123$$

$$n = 143, \phi(143) = 120, e = 103, \\ 103^{-1} = 7, \quad (103 \cdot 7 \equiv 1 \pmod{120}).$$

$$c = 63, m = 63^7 \bmod 143 = 2$$

$$c = 16, m = 16^7 \bmod 143 = 3$$

$$c = 108, m = 108^7 \bmod 143 = 4$$

$$c = 125, m = 125^7 \bmod 143 = 5$$

$$c = 7, m = 7^7 \bmod 143 = 6$$

$$c = 123, m = 123^7 \bmod 143 = 7$$

Why RSA Cryptosystem Works?

If $(a, n) = 1$ then $a^{\phi(n)} \equiv 1 \pmod{n}$.

$d \cdot e \equiv 1 \pmod{\phi(n)} \Rightarrow d \cdot e - 1$ is a multiple of $\phi(n)$.

If $(m, n) = 1$, then

$$\begin{aligned}c^d \pmod{n} &= (m^e)^d \pmod{n} \\ &= m^{(ed)} \pmod{n} \\ &= m^{(k\phi(n)+1)} \pmod{n} \\ &= m^{(k\phi(n))} m \pmod{n} \\ &= ((m^{\phi(n)})^k) m \pmod{n} \\ &= m\end{aligned}$$

If $(m, n) \neq 1$, then ?

Application of the RSA Cryptosystem

A sends a secret message to B .

1. B chooses two large primes p and q ;

2. B computes $n = p \cdot q$, and

$$\phi(n) = (p - 1)(q - 1);$$

3. B chooses e such that $(e, \phi(n)) = 1$;

4. B sends n and e to A ;

5. A computes $c = m^e \bmod n$, and sends it to B ;

6. B computes d , the inverse of e in $\mathbf{Z}_{\phi(n)}^*$;

7. B decrypts the message m by computing

$$m = c^d \bmod n;$$

B 's public key: (n, e)

B 's private key: d

Using only the public key n and e , it is
“computationally infeasible” to compute d .

It is “easy” to compute d , if $\phi(n)$ is known.

Another Application of the RSA

A signs a message and sends it to B .

A 's public key: (n, e)

A 's private key: d

1. A computes $s = m^d \bmod n$;
2. A sends m and s to B ;
3. B accepts the signature if $m = s^e \bmod n$;

Yet Another Application of the RSA

A signs a secret message and sends it to B .

A 's public key: (n_A, e_A)

A 's private key: d_A

B 's public key: (n_B, e_B)

B 's private key: d_B

$$n_A > n_B$$

1. A encrypts the message $c = m^{e_B} \bmod n_B$;
2. A computes the signature $s = c^{d_A} \bmod n_A$;
3. A sends c and s to B ;
4. B accepts the signature if $c = s^{e_A} \bmod n_A$;
5. B decrypts the message $m = c^{d_B} \bmod n_B$;

How to Compute $\phi(n)$?

$\phi(n)$ is the order of the multiplicative group \mathbf{Z}_n^* .

$$\text{If } n = \prod_{k=1}^r p_k^{e_k}, \text{ then } \phi(n) = \prod_{k=1}^r p_k^{e_k-1} (p_k - 1).$$

1. If n is prime, then $\phi(n) = n - 1$.
2. If n is the product of two primes $p \cdot q$, then $\phi(n) = (p - 1)(q - 1)$.

How to compute $\phi(n)$ without factoring n ?

The security of the RSA cryptosystem depends on the difficulty of factoring n .

Factorization

In April 1994, an international cooperative group of mathematicians and computer scientists solved a 17-year-old challenge problem, the factoring of a 129-digit number, called RSA-129, into two primes.

11438162575788886766923577997614
66120102182967212423625625618429
35706935245733897830597123563958
705058989075147599290026879543541

is the product of

34905295108476509491478496199038
98133417764638493387843990820577

and

32769132993266709549961988190834
461413177642967992942539798288533

by 1600 computers, 8 months, 5000 mips-years.

Factorization Algorithms

Trial division:

```
for  $k = 2, 3, 5, 7, \dots, \lfloor \sqrt{n} \rfloor$   
  if  $k \mid n$  then  $k$  is a factor of  $n$ ;
```

n cannot be the products of “small” primes.

Factorization Algorithms

In RSA, n is a product of two large distinct primes.

Assume that $n = p \cdot q$, $p < q$.

If $q - p$ is too “large”, then p may be too small.

If $q - p$ is too “small”, then

$$(q + p)^2 - (q - p)^2 = 4pq = 4n$$

$$(q + p)^2 = 4n + (q - p)^2$$

Guess the value of $q - p$,
such that $4n + (q - p)^2$ a perfect square.

$$q + p = \sqrt{4n + (q - p)^2}$$

Computing square root in \mathbf{R} is “easy”.

Example: $n = 221$

$q - p$	$4n + (q - p)^2$	$q + p$
1	885	29.7489...
2	888	29.7993...
3	893	29.8831...
4	900	30

$$q - p = 4, \quad q + p = 30,$$

$$p = 13, \quad q = 17,$$

$$n = 13 \times 17$$

The difference of p and q should be “large” .

In particular, n cannot be the square of a prime.

Factorization Algorithms

let $x = \lceil \sqrt{n} \rceil$, $p = x - a$, $q = x + b$

$$\begin{aligned}n &= (x - a)(x + b) \\ &= x^2 + (b - a)x - ab \\ &= x^2 + (b - a - 1)x + (x - ab)\end{aligned}$$

x -radix representation $n = x^2 + \alpha x + \beta$,

$$(p + q)/2 > \sqrt{pq} = \sqrt{n} \geq x$$

$$[(x - a) + (x + b)] / 2 > x$$

$$b - a > 0$$

If $ab \leq x$ then

$$0 \leq b - a - 1 < x$$

$$0 \leq x - ab < x$$

If $p - q \leq n^{1/4}$, then the values of a and b can be obtained by solving

$$b - a - 1 = \alpha$$

$$x - ab = \beta$$

An example: $n = 187$

$$x = \lceil \sqrt{187} \rceil = 13$$

$$187 = (13)^2 + 1(13) + 5$$

$$\alpha = 1, \beta = 5$$

$$b - a = 1 + 1$$

$$ab = 13 - 5$$

$$a = 2, b = 4$$

$$p = 13 - 2 = 11, q = 13 + 4 = 17$$

$$n = 11 \times 17$$

The difference of p and q should be at least $n^{1/4}$.

In other words, if first half of the bits of p and q are equal, then n can be factorized easily.

$p - 1$ Factoring Algorithm

In 1974, Pollard introduced the $p - 1$ Factorization Algorithm.

1. Let a be an integer in $(1, n - 1)$.
2. If $(a, n) \neq 1$ then (a, n) is a factor of n .
3. Otherwise, compute $x = a^m \bmod n$, for some m which is a multiple of $p - 1$.
4. $d = \gcd(x - 1, n)$ is a factor of n .

How to compute a multiple of $p - 1$?

If every prime power factor of $p - 1$ is bounded by B , then $p - 1$ is B -smooth.

Example: $12 = 2^2 \cdot 3$, it is 4-smooth.

Let $m = \prod_{i=1}^r p_i^{\alpha_i}$, where

p_1, p_2, \dots, p_r are the primes $\leq B$,

α_i is the integer such that $p_i^{\alpha_i} \leq B < p_i^{\alpha_i+1}$.

If $p - 1$ is B smooth, then m must be a multiple of $p - 1$.

$n = p \cdot q$, where p and q are primes.

$a \equiv b \pmod{n}$, if and only if

$a \equiv b \pmod{p}$, and

$a \equiv b \pmod{q}$.

$$x = a^m \pmod{n} = a^{k(p-1)} \pmod{n}$$

$$x \equiv a^{k(p-1)} \pmod{p}$$

$$x \equiv 1 \pmod{p}$$

$$p \mid (x - 1)$$

$$x - 1 = kp$$

compute $d = (x - 1, n)$

If $1 < d < n$ then d is a nontrivial factor of n .

It has been shown that, if a is randomly chosen, the successful rate is 0.5.

$p - 1$ must contain large prime factors.

$p + 1$ Factoring Algorithms

In 1982, Williams introduced the $p + 1$ Factoring Algorithm.

Use group generated by the Lucas sequence, instead of \mathbf{Z}_n^* .

With proper choice of parameters, the order of the group is $p + 1$.

If $p + 1$ is B -smooth, then n can be factored by the $p + 1$ method.

$p + 1$ must contain large prime factors.

Lucas Sequence

Given a and b , let α and β be the zeros of

$$x^2 - ax + b.$$

Lucas sequence is defined by

$$u_k = (\alpha^k - \beta^k)/(\alpha - \beta)$$

$$v_k = (\alpha^k + \beta^k)$$

Theorem 1 (Lehmer 1978) *If p is an odd prime and $p \nmid b$ then*

$$u_{k(p-\gamma)} \equiv 0 \pmod{p}$$

$$v_{k(p-\gamma)} \equiv 2b^{k(1-\gamma)} \pmod{p}$$

$$\text{where } \gamma = \left(\frac{a^2 - 4b}{p} \right).$$

Legendre symbol

$$\left(\frac{x}{p} \right) = \begin{cases} 0 & \text{if } x \equiv 0 \pmod{p} \\ 1 & \text{if } x \text{ is a quadratic residue modulo } p \\ -1 & \text{otherwise.} \end{cases}$$

$$\left(\frac{x}{p} \right) \equiv x^{(p-1)/2} \pmod{p}$$

Elliptic Curves

Assume that \mathbf{F}_p is a field whose characteristic is not equal to 2 or 3.

An elliptic Curves over \mathbf{F}_p is define by the Weierstrass equation

$$y^2 = x^3 + ax + b,$$

where $a, b \in \mathbf{F}_p$, and $4a^3 + 27b^2 \neq 0$.

$$\begin{aligned} \mathbf{E}_{a,b}(\mathbf{F}_p) \\ = \{(x, y, z) \in \mathbf{P}^2(\mathbf{F}_p) \mid y^2z = x^3 + axz^2 + bz^3\} \end{aligned}$$

The projective plan $\mathbf{P}^2(\mathbf{F}_p)$ over \mathbf{F}_p consists of the equivalence classes of $(x, y, z) \in \mathbf{F}_p \times \mathbf{F}_p \times \mathbf{F}_p$, where (x, y, z) and (cx, cy, cz) are equivalent.

Addition rules:

1. $O = (0, 1, 0)$ is the zero of the group.
 $P + O = O + P = P$.
2. $P = (x_1, y_2, 1)$, $Q = (x_2, y_2, 1)$, $R = (x_3, y_3, z_3)$ be the intersections of the curve and a line then $P + Q + R = O$.

Elliptic Curve Factoring Algorithm

In 1985, Hendric and Lenstra invented the Elliptic curve method for factoring n , by using elliptic curves “over” \mathbf{Z}_n .

1. Randomly select $a, b \in \mathbf{Z}_n$ for the Elliptic curve to be used;
2. Randomly select a point $P = (x, y, 1)$ of the curve;
3. Select a bound B , and compute

$$m = \prod_{i=1}^r p_i^{\alpha_i},$$

where $p_i, i = 1, 2, \dots, r$, are prime numbers bounded by B , and α_i is the maximum integer such that $p_i^{\alpha_i}$ is more than B ;

4. Attempt to compute $mP = \underbrace{P + P + \dots + P}_m$;

Elliptic Curves modulo n

$$\mathbf{E}_{a,b}(\mathbf{Z}_n) \\ = \{(x, y, z) \in \mathbf{P}^2(\mathbf{Z}_n) \mid y^2z = x^3 + axz^2 + bz^3\}$$

The following addition algorithm will either find a factor of n , or compute the “sum” of $P = (x_1, y_1, 1)$ and $Q = (x_2, y_2, 1)$.

```
If  $x_1 = x_2$  and  $y_1 = -y_2$  then return( $O$ );  
If  $x_1 = x_2$  then  
  find  $s, t$ ,  $s(y_1 + y_2) + tn = (y_1 + y_2, n) = d$ ;  
  if  $d > 1$  then  
     $d$  is a factor of  $n$ ; stop;  
  else  
     $\lambda = s(3x_1^2 + a)$ ;  
  end  
else  
  find  $s, t$ ,  $s(x_1 - x_2) + tn = (x_1 - x_2, n) = d$ ;  
  if  $d > 1$  then  
     $d$  is a factor of  $n$ ; stop;  
  else  
     $\lambda = s(y_1 - y_2)$ ;  
  end  
end  
 $x = \lambda^2 - x_1 - x_2$ ;  $y = \lambda(x - x_1) + y_1$ ;  
return(( $x, -y, 1$ ))
```

The order of the group generated by the elliptic curve $\mathbf{E}_{a,b}(\mathbf{F}_p)$ is $p + 1 - t$, where $|t| \leq 2\sqrt{p}$.

It has been shown that, for any t with $|t| \leq 2\sqrt{p}$, there is an elliptic curve $\mathbf{E}_{a,b}(\mathbf{F}_p)$ with order $p + 1 - t$.

If $p + 1 - t$ is B -smooth, then n can be factored by the elliptic curve method.

By using different elliptic curves, the factorization can be done in “parallel”.

Strong Primes and Strong Keys

Strong prime

1. p is large.
2. The largest prime factor of $p - 1$ is large.
3. The largest prime factor of $p + 1$ is large.
4. . . .

Strong key

1. The difference of p and q is large.
2. The ratio, p/q , is not close to a/b , for some “small” integers a and b .
3. . . .

Are strong primes needed for RSA?

Are there strong primes for RSA?

Is RSA secure?

The only way to ensure the security of the RSA cryptosystem is to increase the size of n .

According to the estimate of Silverman and Wagstaff, using 1000000000000 MIPS R10000 computers, running for 37500000000 years could have only 0.63 chance to factor 1024-bit integer.

Computational Complexity of the Factoring Algorithms

$$L_n[\nu, \lambda] = \exp\left(\lambda(\log n)^\nu (\log \log n)^{1-\nu}\right)$$

$$L_n[1, \lambda] = n^\lambda, \quad L_n[0, \lambda] = (\log n)^\lambda$$

$$\begin{aligned} \log \log L_n[\nu, \lambda] \\ = (\nu) \log \log L_n[1, \lambda] + (1 - \nu) \log \log L_n[0, \lambda] \end{aligned}$$

The most important parameter is ν .

trial division:	$L_n[1, \lambda]$
elliptic curve method:	$L_n[1/2, 1]$
quadratic sieve:	$L_n[1/2, 1]$
number field sieve:	$L_n[1/3, \lambda]$

Sieve Factoring Algorithms

Factoring Algorithms whose running time depends mainly on the size of n , e.g., quadratic sieve, special number field sieve, general number field sieve, ...

1. find x and y such that $x \not\equiv \pm y \pmod{n}$ and $x^2 \equiv y^2 \pmod{n}$;
2. compute $d = (x - y, n)$;
if $1 < d < n$ then d is a nontrivial factor of n ;

$$x \not\equiv \pm y \pmod{n} \Rightarrow n \nmid (x - y) \text{ and } n \nmid (x + y)$$

$$x^2 \equiv y^2 \pmod{n} \Rightarrow n \mid (x^2 - y^2) \Rightarrow n \mid (x - y)(x + y)$$

Find Congruent Squares

How to find x and y such that $x^2 \equiv y^2 \pmod{n}$?

1. choose a set of primes $B = \{p_1, p_2, \dots, p_r\}$.
2. find a set of x in \mathbf{Z}_n such that $x^2 \pmod{n}$ can be factored by using primes in B ,

$$C = \{x \mid x^2 \equiv \prod_{i=1}^r p_i^{e_i} \pmod{n}\}$$

3. select a subset S of C such that

$$\prod_{x \in S} x^2 \equiv \prod_{i=1}^r p_i^{\beta_i} \pmod{n}$$

where each β_i is even.

For a randomly chosen pair x and y satisfying $x^2 \equiv y^2 \pmod{n}$, at least half of them will also satisfy $x \not\equiv \pm y \pmod{n}$.

An Example: Quadratic Sieve

$$n = 33221, B = \{2, 3, 5, 7\}$$

$$x_i = \left\lceil \sqrt{33221} \right\rceil + i = 182 + i.$$

$(189)^2$	$\equiv 2500$	$\equiv (2)^2(5)^4$
$(378)^2$	$\equiv 10000$	$\equiv (2)^4(5)^4$
$(409)^2$	$\equiv 1176$	$\equiv (2)^3(3)^1(7)^2$
$(567)^2$	$\equiv 22500$	$\equiv (2)^2(3)^2(5)^4$
$(682)^2$	$\equiv 30$	$\equiv (2)^1(3)^1(5)^1$
$(802)^2$	$\equiv 12005$	$\equiv (5)^1(7)^4$
$(818)^2$	$\equiv 4704$	$\equiv (2)^5(3)^1(7)^2$
$(835)^2$	$\equiv 32805$	$\equiv (3)^8(5)^1$
$(845)^2$	$\equiv 16384$	$\equiv (2)^{14}$
$(983)^2$	$\equiv 2880$	$\equiv (2)^6(3)^2(5)^1$
$(1169)^2$	$\equiv 4500$	$\equiv (2)^2(3)^2(5)^3$
$(1223)^2$	$\equiv 784$	$\equiv (2)^4(7)^2$
$(1227)^2$	$\equiv 10584$	$\equiv (2)^3(3)^3(7)^2$
$(1327)^2$	$\equiv 216$	$\equiv (2)^3(3)^3$
$(1364)^2$	$\equiv 120$	$\equiv (2)^3(3)^1(5)^1$
$(1568)^2$	$\equiv 270$	$\equiv (2)^1(3)^3(5)^1$
$(1589)^2$	$\equiv 125$	$\equiv (5)^3$
	\vdots	

An Example: Quadratic Sieve

$$189^2 \equiv 2^2 5^4$$

$$189^2 \equiv 2^2 5^4 \equiv 50^2$$

$$189^2 - 50^2 \equiv 0 \pmod{33221}$$

$$(189 - 50)(189 + 50) \equiv 0 \pmod{33221}$$

$$(139)(239) = k(33221)$$

$$33221 = 139 \times 239$$

$$802^2 \equiv 5^1 7^4$$

$$835^2 \equiv 3^8 5^1$$

$$(802 \cdot 835)^2 \equiv (3^4 \cdot 5 \cdot 7^2)^2$$

$$(669670)^2 \equiv (19845)^2$$

$$(5250)^2 \equiv (19845)^2$$

$$(5250 - 19845)(5250 + 19845) = k \cdot 33221$$

$$(-14595)(20595) = k \cdot 33221$$

$$(-14595, 33221) = 139$$

$$(20595, 33221) = 239$$

$$409^2 \equiv 2^3 3^1 7^2$$

$$682^2 \equiv 2^1 3^1 5^1$$

$$835^2 \equiv 3^8 5^1$$

$$(409 \cdot 682 \cdot 835)^2 \equiv (2^2 \cdot 3^5 \cdot 5 \cdot 7)^2$$

$$(232913230)^2 \equiv (34020)^2$$

$$(799)^2 \equiv (799)^2$$

The first phase of the sieve algorithm is to find a set of x in \mathbf{Z}_n such that $x^2 \pmod n$ can be factored by using primes in $B = \{p_1, p_2, \dots, p_r\}$,

$$C = \{x \mid x^2 \equiv \prod_{i=1}^r p_i^{e_i} \pmod n\}$$

Each $x \in C$ can be represented by a vector in \mathbf{Z}_2^r , (b_1, b_2, \dots, b_r) , where each $b_i = e_i \pmod 2$.

Supposed that we have collected more than r such x 's in C .

The second phase is to find a pair of congruent squares modulo n , i.e., $x^2 \equiv y^2 \pmod n$.

Let V be the set of vectors constructed from each element in C .

Since $|V| = |C| > |B|$, vectors in V cannot be all linearly independent.

Therefore, we can select a subset $S \subseteq C$ such that the sum of the corresponding vectors in \mathbf{Z}_2^r is zero. Hence,

$$\prod_{x \in S} x^2 \equiv \prod_{i=1}^r p_i^{\beta_i} \pmod{n}$$

where each β_i is even.

The first phase involves searching for “smooth” integers.

The second phase combines these integers into two congruent squares.

This can be done by solving a large systems of linear equations.

Multiple Polynomial Quadratic Sieve

In searching for the smooth integers,

quadratic sieve:

$$f(t) = (t + \lfloor \sqrt{n} \rfloor)^2, t = 1, 2, \dots$$

multiple polynomial quadratic sieve:

$$f(t) = (at + b)^2, t = 1, 2, \dots,$$

where $|b| \leq a/2$ and $b^2 \equiv n \pmod{a}$.

In April 1994, a version of multiple polynomial quadratic sieve was used by Arjen Lenstra and Derek Atkins to factor the RSA-129, a 129-digit number that had been given in 1977 by the inventors of the RSA cryptosystem.

Number Field Sieve

Number field sieve is considered to be the most efficient factoring algorithm for “large” integers (120+ digits).

It was first invented by Pollard to factor integers of the special form $n = r^e + s$ with small r and $|s|$.

Lenstra, Lenstra, Jr., Manasse, Pollard, Buhler, Pomerance, et al. generalized it to factor any integers.

The basic principle of number field sieve is the same for quadratic sieve, namely to find two congruent squares modulo n .

The difference is that, in number field sieve, the squares are formed not only from combining smooth *rational integers*, but also by combining smooth *algebraic integers* from carefully chosen algebraic number field.

Algebraic Numbers

Let $\mathbf{Z}[x]$ be the set of all polynomials with integer coefficients.

A number z is *algebraic* if it is a root of a polynomial $P(x) \in \mathbf{Z}[x]$.

Assume that the polynomial $P(x)$ is *irreducible* over the rationals. The root z of $P(x)$ generates an *algebraic number field*,

$$\mathbf{Q}(z) = \left\{ \sum_{i=0}^{n-1} a_i z^i \mid a_i \in \mathbf{Q} \right\}$$

$x = a_0 + a_1 z + \dots + a_{n-1} z^{n-1}$ is *rational* if $x = a_0$, otherwise, it is irrational.

$x = a_0 + a_1 z + \dots + a_{n-1} z^{n-1}$ is an *integer* if x is a root of a monic polynomial in $\mathbf{Z}[x]$.

An Example: Quadratic Fields

The quadratic polynomial $x^2 - D$ has roots $\pm\sqrt{D}$.

\sqrt{D} generates a quadratic field $\mathbf{Q}(\sqrt{D})$

The elements of $\mathbf{Q}(\sqrt{D})$ can be represented by

$$z = a + b\sqrt{D}.$$

$$1. (a + b\sqrt{D}) + (c + d\sqrt{D}) = (a + c) + (b + d)\sqrt{D}$$

$$2. (a + b\sqrt{D}) - (c + d\sqrt{D}) = (a - c) + (b - d)\sqrt{D}$$

$$3. (a + b\sqrt{D})(c + d\sqrt{D}) = (ac + bdD) + (ad + bc)\sqrt{D}$$

$$4. \frac{a + b\sqrt{D}}{c + d\sqrt{D}} = \frac{(a + b\sqrt{D})(c - d\sqrt{D})}{(c + d\sqrt{D})(c - d\sqrt{D})} = \frac{ac - bdD}{c^2 - d^2D} + \left(\frac{ad - bc}{c^2 - d^2D} \right) \sqrt{D}$$

Integer in a Quadratic Field

z is an integer in $\mathbf{Q}(\sqrt{D})$ if $z^2 + bz + c = 0$ for some “rational” integer b and c .

Let $z = r + s\sqrt{D}$ be an integer.

$(z - r)^2 = s^2D$, thus z is a root of the equation:

$$z^2 - 2rz + r^2 - s^2D$$

z is an integer iff $-2r \in \mathbf{Z}$ and $r^2 - s^2D \in \mathbf{Z}$.

z is an integer iff

$$\begin{cases} z = r + s\sqrt{D}, & \text{if } D \equiv 2 \text{ or } 3 \pmod{4} \\ z = r + s\frac{-1 + \sqrt{D}}{2}, & \text{if } D \equiv 1 \pmod{4} \end{cases}$$

Conjugate Numbers

Let z_1, z_2, \dots, z_n be the roots of $P_n(x) \in \mathbf{Z}[x]$ of degree n . The numbers z_1, z_2, \dots, z_n are called *conjugate numbers*.

The *norm* of $z = z_1$ is

$$N(z) = \prod_{i=1}^n z_i = (-1)^n d_0/d_n$$

1. $N(x)$ is rational.
2. $N(x) = 0$ iff $x = 0$.
3. $N(x)$ is integer if x is an algebraic integer.
4. The norm N is multiplicative,
 $N(xy) = N(x)N(y)$.

Unit

x is a unit of $\mathbf{Q}(z)$ if $N(x) = \pm 1$.

Examples:

There are 4 units in \mathbf{C} : $\pm 1, \pm i$.

There are 6 units in $\mathbf{Q}(\sqrt{-3})$: $\pm 1, \pm \frac{-1 \pm \sqrt{-3}}{2}$.

There are infinite number of units in $\mathbf{Q}(\sqrt{2})$:
 $(1 + \sqrt{2})^n, n = 1, 2, \dots$

Associate Numbers

x and y are associated numbers if $\frac{x}{y}$ is a unit of $\mathbf{Q}(z)$.

Examples:

\mathbf{Z} : x and $-x$

\mathbf{C} : $2 + 3i$ and $-3 + 2i$

$\mathbf{Q}(\sqrt{2})$: $5 + \sqrt{2}$ and $11 - 7\sqrt{2}$

Divisibility, Primes, and Composites

Let $\mathbf{Z}(z)$ be the ring of integers in $\mathbf{Q}(z)$,
 $a, b, c \in \mathbf{Z}(z)$.

$a \mid b$, if $b = ac$ for some $c \in \mathbf{Z}(z)$.

If $a \mid b$, then $N(a) \mid N(b)$.

If $a = bc$ and both b and c are not units, then a is composite, otherwise a is prime.

Primes in C :

1. $1 + i, 2 \pm i, 3 \pm 2i, 4 \pm i, \dots$ i.e., all the factors $a \pm bi$ of $p = a^2 + b^2$, where $p = 2$ or p is a prime of the form $4k + 1$.
2. $3, 7, 11, 19, \dots$ i.e., primes of the form $4k - 1$.
3. The associate numbers of the above.

Factorization

Every integers $z \in \mathbf{Q}(\sqrt{D})$ can be factored into the product of the primes and the units.

For quadratic fields, if $D = -163, -67, -43, -19, -11, -7, -3, -2, -1, 2, 3, 5, 6, 7, 11, 13, 17, 19, 21, 29, 33, 37, 41, 57,$ and 73 , then the factorization is unique in $\mathbf{Q}(\sqrt{D})$.

The negative values of D mentioned above are the only fields for which the factorization is unique.

Number Field Sieve

1. Construct an algebraic number field $\mathbf{Q}(z)$.
2. Find a nonempty set S of pairs (a, b) of relative prime integers such that:

$$\left(\prod_{(a,b) \in S} a + bm \right) = x^2 \text{ for some } x \in \mathbf{Z}_n$$

$$\left(\prod_{(a,b) \in S} a + bz \right) = y^2 \text{ for some } y \in \mathbf{Q}(z)$$

3. Compute a factor of n .

Let $f(x)$ be the polynomial of degree d selected to construct the field $\mathbf{Q}(z)$.

$$m: f(m) \equiv 0 \pmod{n}.$$

Let $\mathbf{Z}(z)$ be the ring of integers in $\mathbf{Q}(z)$.

There is a ring homomorphism $\phi: \mathbf{Z}(z) \rightarrow \mathbf{Z}_n$,

$$\phi(z) = (m \bmod n).$$

$$\phi\left(\sum_{i=0}^{d-1} a_i z^i\right) = \left(\sum_{i=0}^{d-1} a_i m^i \bmod n\right).$$

$$\phi(y^2) = \prod_{(a,b) \in S} \phi(a + bz) = \prod_{(a,b) \in S} a + bm = x^2 \bmod n.$$

Find $f(x)$, and m

1. select a degree d , $2^{d^2} < n$.

2. let $m = \lceil n^{1/d} \rceil$.

3. write $n = \sum_{i=0}^d c_i m^i$, $0 \leq c_i < m$.

4. $f(x) = \sum_{i=0}^d c_i x^i$.

1. $c_d = 1$, $c_{d-1} \leq d$.

2. $|\Delta(f)| < d^{2d} n^{2-3/d}$, where Δ is the discriminant of f .

Compute S

1. construct a factor basis B_1 for \mathbf{Z}_n .
2. construct a factor basis B_2 for $\mathbf{Q}(z)$.
3. select a range u , and let
$$U = \{(a, b) \mid \gcd(a, b) = 1, |a| \leq u, 0 < b \leq u\}.$$
4. find a subset $T = \{(a, b) \in U \mid a + bm \text{ is } b_1\text{-smooth and } a + bz \text{ is } b_2\text{-smooth}\}.$
5. find a subset $S = \{(a, b) \in T\}$ such that the sum of each exponent is even.

An Example

$$n = 1333, d = 3,$$
$$m = \lfloor n^{1/d} \rfloor = \lfloor 1333^{1/3} \rfloor = \lfloor 11.00550\dots \rfloor = 11$$

$1333 = 11^3 + 2$, $f(x) = x^3 + 2$, $\sqrt[3]{-2}$ is a root of $f(x) = 0$.

factorization is unique in $\mathbb{Q}(\sqrt[3]{-2})$.

units are: $(1 + \sqrt[3]{-2})^k$, $k = 1, 2, \dots$

$B_1 = \{-1, 2, 3, 5, 7\}$, $B_2 = \{-1, U, A, B, C, D, E, F\}$,
where

$$U = [1, 1, 0], A = [0, 1, 0], B = [-1, 1, 0],$$

$$C = [1, 0, 1], D = [1, 1, -1], E = [1, -2, 0],$$

$$F = [3, 0, -1]$$

$T =$

(a, b)	-1	2	3	5	7	-1	U	A	B	C	D	E	F
$(-7, 1)$	0	2	0	0	0	0	0	0	1	1	0	0	1
$(-4, 1)$	0	0	0	0	1	0	0	1	1	0	1	0	0
$(-1, 1)$	0	1	0	1	0	0	0	0	1	0	0	0	0
$(-1, 3)$	0	5	0	1	0	0	0	0	0	1	1	0	0
$(1, -2)$	1	0	1	0	1	0	0	0	0	0	0	1	0
$(2, 3)$	0	0	0	1	1	0	0	1	0	0	0	0	1
$(5, 4)$	0	0	0	0	2	1	3	0	1	0	0	0	0
$(7, 3)$	0	3	0	1	0	1	1	0	0	0	0	2	0

$$S = \{(-1, 1), (5, 4), (7, 3)\}$$

$$\prod_{(a,b) \in S} a + bm = 2 \cdot 5 \cdot 7^2 \cdot 2^3 \cdot 5 = (2^2 \cdot 5 \cdot 7)^2 = 140^2$$

$$\prod_{(a,b) \in S} a + bz = (U^2 \cdot [-1, 1, 0] \cdot [1, -2, 0])^2 = [1, 5, 3]^2$$

$$\phi([1, 5, 3]^2) = (\phi()[1, 5, 3])^2 = (1 + (5)11 + (3)11^2)^2 = 419^2$$

$$140^2 \equiv 419^2 \pmod{1333}$$

$d = \gcd(419 - 140, 1333) = 31$ is a factor of 1333